

**Office of Intellectual Property Counsel  
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**3M Center, PO Box 33427  
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# FACSIMILE

Date: January 14, 2003

Number of pages (including cover sheet): 26

To: Examiner S. Ahmed

Serial No.: 09/890,482

Phone: 703-305-3659

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From: Bruce E. Black

Our Ref: 53852US013

Phone: 651-736-3366

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Remarks: ☐ Urgent ☐ Acknowledge ☐ Reply ASAP ☐ Please Comment

Attached is a copy of the Completion of Filing Requirements along with Receipted  
Postcard.

Patent  
Case No.: 53852US013**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: OUDEKIRK, ANDREW J.  
Application No.: 09/890,482 Group Art Unit:  
Filed: July 26, 2001 Examiner:  
Title: INFRARED INTERFERENCE FILTER

<b><u>CERTIFICATE OF MAILING</u></b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:	
Date Jan 8, 2002	Signature <i>[Handwritten Signature]</i>

**COMPLETION OF FILING REQUIREMENTS**

Box Missing Parts  
Commissioner for Patents  
Washington, DC 20231

Dear Sir:

This is in response to the NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE mailed on November 8, 2001 (copy enclosed).

Enclosed is the original declaration for this application. The above-identified application is the application, which the inventors executed by signing the enclosed Declaration, Power of Attorney; and Petition.

The inventor Makoto Ishikawa died January 16, 2000 as evidenced in the Translation of the Family Register enclosed. Also enclosed are a copy of and translation of Articles 886-890 of Chapter II Successors of the Japanese Civil Code; a Declaration from Kenichi Katoh declaring that under Articles 886-890 of the Japanese Civil Code Yoko Ishikawa is the legal heir of the deceased inventor; a Declaration stating that Yoko Ishikawa is the legal heir of the deceased inventor; and a copy of an Assignment stating that Sachiko Ishikawa and Minako Aratani are the true inheritors of the right for obtaining the invention and assigning their rights to the sole inheritor, Yoko Ishikawa. Accordingly, Yoko Ishikawa has signed the Declaration as heir of Makoto Ishikawa, deceased.

JAN 14 2002  
DUE DATE(S) 1/18/02  
ATTORNEY BEB  
DOCKETED 1202

USSN: 09/890,482

Docket No.: 53852US013

Please charge the fee provided in 37 C.F.R. § 1.16(e) to Deposit Account No. 13-3723. Please also charge any additional fees or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked DUPLICATE is also enclosed.

Respectfully submitted,

Jan 8, 2003  
Date

By: Bruce E. Black  
Bruce E. Black, Reg. No.: 41,622  
Telephone No.: 651-736-3366

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OFFICE OF INTELLECTUAL  
PROPERTY COUNSEL

3M INNOVATIVE PROPERTIES COMPANY

NOV 13 2001

U.S. APPLICATION NUMBER NO.

09/890,482

FIRST NAMED APPLICANT

REFERRED TO  
Andrew J Oudekirk

ATTY. DOCKET NO.

53852US013

INTERNATIONAL APPLICATION NO.

PCT/US99/01818

I.A. FILING DATE

01/28/1999

PRIORITY DATE

01/28/1998

Bruce e Black  
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CONFIRMATION NO. 1699

371 FORMALITIES LETTER



\*OC00000007041654\*

01/08/02

BEB

me

Date Mailed: 11/08/2001

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments

RECEIVED

NOV 19 2001

B.E. BLACK

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is \$130 for a Large Entity;

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

SHAKEEL AHMED

Telephone: (703) 305-3659

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/890,482	PCT/US99/01818	53852US013

Form 10527, M. PWN

- Application Of: ANDREW J. OUDERKIRK, ET AL.
- Docket No.: 53852US013 Serial No.: 09/890,482
- Title: INFRARED INTERFERENCE FILTER
- ☒ Certif. of Mailing
- Amount charged to Deposit Account: \$130
- Attorney (initials): BEB:skwj Date January 8, 2002
- Enclosures: Completion of Filing Requirements in Duplicate with copy of Notification Of Missing Requirements; 4-Page Declaration with enclosures; Post Card

Form 10527, M. PWN

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JC10 Rec'd PGT/FTO 25 FEB 2002